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## Interferon mediated prophylactic protection against respiratory viruses conferred by a prototype live attenuated influenza virus vaccine lacking non-structural protein 1

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The influenza A non-structural protein 1 (NS1) is known for its ability to hinder the synthesis of type I interferon (IFN) during viral infection. Influenza viruses lacking NS1 ( $\Delta$ NS1) are under clinical development as live attenuated human influenza virus vaccines and induce potent influenza virus-specific humoral and cellular adaptive immune responses. Attenuation of  $\Delta$ NS1 influenza viruses is due to their high IFN inducing properties, that limit their replication in vivo. This study demonstrates that pre-treatment with a  $\Delta$ NS1 virus results in an antiviral state which prevents subsequent replication of homologous and heterologous viruses, preventing disease from virus respiratory pathogens, including SARS-CoV-2. Our studies suggest that  $\Delta$ NS1 influenza viruses could be used for the prophylaxis of influenza, SARS-CoV-2 and other human respiratory viral infections, and that an influenza virus vaccine based on  $\Delta$ NS1 live attenuated viruses would confer broad protection against influenza virus infection from the moment of administration, first by non-specific innate immune induction, followed by specific adaptive immunity.

The type I interferon (IFN) response resulting from invading viral pathogens is considered as one of the first lines of antiviral defence mechanisms in higher organisms. The latter process takes place upon the detection of the pathogen associated molecular patterns (PAMPS) by the host pattern recognition receptors (PRRs). Secretion of interferons takes place in both paracrine and autocrine signalling mechanisms, mediated by the canonical JAK/ STAT signal transduction pathway along with the transcriptional activation of a particular set of host genes as well as their corresponding promotors defined as IFN-stimulated response elements (ISREs)<sup>1</sup>. Subsequent activation of the downstream interferon stimulated genes (ISGs) lead to the transcriptional induction of a plethora of antiviral proteins, including dsRNA-activated protein kinase (PKR) leading to a halt of protein translation, dsRNA-activated oligoadenylate synthetases (OAS) which facilitate the degradation of RNA by activating RNAse L and Mx proteins which essentially sequester incoming viral components such as nucleocapsids<sup>2,3</sup>. Many studies have demonstrated that viruses have evolved to encode numerous mechanisms to prevent the host IFN-mediated antiviral response at different stages<sup>4</sup>. Viral non-structural proteins such as those of Toscana virus, dengue and

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The influenza A virus (IAV) non-structural protein 1 (NS1) facilitates several functions ranging from inhibition of host mRNA polyadenylation and subsequent inhibition of their nuclear export as well as inhibition of pre-mRNA splicing<sup>11,12</sup>. A growing body of evidence to date has indicated that influenza NS1 protein has IFN antagonistic activity. It was initially shown that a recombinant influenza A virus that lacks the NS1 protein( $\Delta$ NS1) grew to a titer similar to that of WT virus in IFN deficient systems, albeit being markedly attenuated in IFN competent hosts<sup>13</sup>. This attenuated phenotype can be explained by the inability of the virus to prevent NS1 mediated IFN inhibition. The NS1 protein has been shown to bind to TRIM25 whereby the ubiquitination of the viral RNA sensor RIG-I is inhibited, which eventually results in the inhibition of IFN induction<sup>14,15</sup>. NS1 has also been shown to prevent IFN production by sequestering the cellular cleavage and polyadenylation specificity factor 30 (CPSF30) in order to halt the processing of host pre-mRNAs, resulting in accumulation of pre-mRNAs in the nucleus as well as the halt of cellular mRNA export to the cytoplasm<sup>16</sup>. This subsequently results in the inhibition of host protein production, including IFNs and proteins encoded by IFN inducible genes<sup>17,18</sup> NS1 has also been shown to inhibit the antiviral activity of several IFN-stimulated genes, such as the 2′-5′- oligo A synthase (OAS)<sup>19</sup>.

Consistent with its function, deletion of NS1 in recombinant IAV results in a live attenuated and highly immunogenic IAV. As a result, IAV with impaired NS1 function are currently used as vaccines against swine influenza in pigs<sup>20</sup> and they are under clinical consideration as live attenuated human influenza virus vaccines<sup>21-23</sup>.

Based on the growing body of evidence showing the IFN antagonistic properties of IAV NS1, we investigated the ability of the  $\Delta$ NS1 viruses to induce an IFN response in vivo along with the biological antiviral consequences mediated by the type I IFN induction. Our results demonstrate that the  $\Delta$ NS1 virus is an efficient inducer of IFN with antiviral properties in both mice and embryonated eggs. Our data indicates the suitability of  $\Delta$ NS1 virus as a prophylactic agent to induce immediate mucosal antiviral responses with the aim of preventing acute respiratory infections caused by IFN sensitive viruses.  $\Delta$ NS1 influenza viruses can provide first innate antiviral protection, followed by adaptive specific IAV protection.

#### Results

**Recombinant influenza A virus lacking the NS1 gene (\DeltaNS1) induces higher levels of interferon than wild type viruses in embryonated chicken eggs.** Previously, we demonstrated that tissue culture-based infections by  $\Delta$ NS1 viruses induced the transactivation of an ISRE-containing reporter gene<sup>13</sup>, indicating that infection by  $\Delta$ NS1 viruses induces higher levels of IFN in comparison to its wild type counterparts. To test whether  $\Delta$ NS1induces IFN in 10-day old embryonated-chicken eggs, eggs were treated with 10<sup>3</sup> PFU of  $\Delta$ NS1 or PR8-WT influenza viruses. Subsequently, the allantoic fluids were harvested 18 h post treatment to measure the levels of IFN by determining the highest dilution that inhibited the cytopathic effect mediated by vesicular stomatitis virus (VSV) in chicken embryo fibroblast (CEF) cells<sup>24,25</sup>. As indicated in the Supplementary Table 1, four hundred Uml<sup>-1</sup> of IFN were detected in the allantoic fluid of eggs infected by  $\Delta$ NS1 virus. However, allantoic fluids derived from WT-PR8 or mock infections indicated undetectable levels of IFN (<16 Uml<sup>-1</sup>).

**Pre-treatment with**  $\Delta$ **NS1 influenza virus inhibits wild-type influenza virus replication in embryonated chicken eggs.** We speculated that the ability of the  $\Delta$ NS1 virus on inducing high titers of IFN in eggs facilitates an antiviral state that may prevent the replication of wild-type IAV. To evaluate this, increasing amounts of  $\Delta$ NS1 virus were inoculated into eggs and 8 h post-treatment, the eggs were challenged with wild-type A/WSN/33 (WSN-WT) virus with a dose of 10<sup>3</sup> PFU. Two days post incubation extracted allantoic fluids were titrated via plaque assays. WSN viral titers decreased with  $\Delta$ NS1 in a dose dependent manner. While the untreated allantoic fluids supported the growth of WSN virus to an approximate titer of 10<sup>8</sup> PFUml<sup>-1</sup>, administration of a dose as little as  $2 \times 10^4$  PFUml<sup>-1</sup> of  $\Delta$ NS1 prevented the replication of WSN virus (less than  $10^2$  PFUml<sup>-1</sup> of WSN were obtained in eggs). The titer of WSN virus was reduced by one log, by pre-treating allantoic fluids with as little as 2 PFU of  $\Delta$ NS1 (Fig. 1A).

Interestingly, treatment using  $\Delta$ NS1 virus further inhibited the replication of other viruses, as depicted in Fig. 1B. Relative HA titers were obtained from eggs treated with  $2 \times 10^4$  PFUml<sup>-1</sup> of  $\Delta$ NS1 virus followed by subsequent infection with wild-type Influenza A H1N1 strains WSN and PR8, H3N2 strain X-31, influenza B virus or Sendai virus (SeV; a paramyxovirus). In all cases, pre-treatment with  $\Delta$ NS1 resulted in a two-log reduction of wild-type viral HA titers.

Severe disease and death caused by infection with the highly virulent PR8 virus (hvPR8) in A2G mice can be alleviated by  $\Delta$ NS1 pre-treatment. In order to assess whether or not the administration of  $\Delta$ NS1 virus inhibits replication of influenza viruses in mice, an inbred mouse strain that is homozygous for the gene which codes for the IFN induced full-length *Mx1* protein, defined as C57BL/6-A2G (abbreviated as A2G) mice were used for this part of the study<sup>26,27</sup>. Previous studies have concluded that IFN administration was ineffective in preventing IAV replication in laboratory mice lacking a functional *Mx1* gene<sup>28</sup>. In contrast, A2G mice which were administered IFN remained alive upon infection with the highly virulent hvPR8 IAV strain<sup>29</sup>. The presence of a functional *Mx1* gene in A2G mice better mirrors the human situation, as *Mx1* gene deficiencies in humans are rare. Here, A2G mice were intranasally infected with a dose of  $5 \times 10^5$  PFUml<sup>-1</sup> of  $\Delta$ NS1 virus or PBS at -24, -8, +3, +24 and +48 h. Mice were challenged at time 0 intranasally with  $5 \times 10^6$  PFU of hvPR8 virus. Mice treated with  $\Delta$ NS1 virus were protected from hvPR8 virus as measured by weight loss and death while the PBS treated mice succumbed to death (Fig. 2A).

Subsequently, we examined whether all five  $\Delta NS1$  treatments were essential for the protective effect against hvPR8 infection in mice. Hence, a single dose of  $5 \times 10^6$  PFU of  $\Delta NS1$  virus was given at various time points



**Figure 1.** Pre-incubation with  $\Delta$ NS1 virus inhibits viral replication in embryonated chicken eggs. (**A**) 10-dayold embryonated chicken eggs (n = 2 per group) were inoculated with varying amounts of (PFU) of  $\Delta$ NS1 virus in the allantoic cavity. Eight hours post infection at 37 °C, eggs were re-infected with 10<sup>4</sup> PFU of WT A/ WSN/33 influenza virus and incubated at 37 °C for 40 h. Allantoic fluids were then titrated by plaque assay MDBK cells. (**B**) 10-day-old embryonated chicken eggs (n = 2 per group) were inoculated with 2×10<sup>4</sup> PFU of  $\Delta$ NS1 virus or PBS (Untreated). 8 h post inoculation at 37 °C, the eggs were re-infected with 10<sup>3</sup> PFU of A/ WSN/33 (WSN/H1N1), A/PR/8 (PR8/H1N1), A/X-31 (X-31/H3N2), B/Lee/40 (B-Lee influenza B) or Sendai Virus (Sendai). B-Lee infected eggs were incubated at 35 °C for additional 40 h. All other eggs were incubated at 37 °C for additional 40 h. Virus present in the allantoic fluid was titrated by hemagglutination assays. Maximum hemagglutination titers (100%) for each individual virus were 2048 (PR8), 1024 (X-31), 256 (B-Lee), 512 (Sendai).

relative to the infection with hvPR8. Data indicated (Fig. 2B) that pre-treatment (hours 24 or 8 before hvPR8 challenge) but not post treatment (even 3 h post hvPR8 challenge) of  $\Delta$ NS1 resulted in the prevention of weight have been divergent to the Additional PR8 challenge) and the prevention of the PR8 challenge of  $\Delta$ NS1 resulted in the prevention of weight have been divergent to the PR8 challenge of the PR8 challenge of

mice against hvPR8-induced death was approximately 10<sup>3</sup> PFU.

loss disease and subsequent death. Additionally, ΔNS1 virus administered two or four days prior to hvPR8 challenge completely protected mice from disease (Fig. 2C). Next, to obtain the effective dose 50 (ED<sub>50</sub>) of ΔNS1 virus to mediate protection against disease from hvPR8 infection,  $2 \times 10^5$ ,  $2 \times 10^4$ ,  $2 \times 10^3$  or  $2 \times 10^2$  doses of ΔNS1 virus were intranasally administered to A2G mice 24 h prior to hvPR8 challenge. As shown in Fig. 2D, the ED<sub>50</sub> of the ΔNS1 virus which conferred protection in A2G

**Induction of Mx1 specific mRNA in mice treated with**  $\Delta$ **NS1 virus.** To investigate whether  $\Delta$ NS1 infection in mice resulted in induction of the *Mx1* gene, an RT-PCR assay for *Mx1* specific mRNA in infected animal lungs was developed. In parallel, infections were performed in BALB/c mice which have a non-functional *Mx1* gene due to a large frameshift deletion<sup>28</sup>. As seen in Fig. 3A, treatment with  $\Delta$ NS1 resulted in the early induction (24 h post infection) of *Mx1* specific mRNA in both A2G and BALB/c mice. In contrast a very faint band was present in A2G mice infected with hvPR8 virus at the same time post infection and no specific mRNA was detected in mock infected mRNA.

**ANS1 mediated protection from hvPR8 is Mx1-mediated.** As the Mx1 protein is one of the most potent IFN inducible gene products with anti-influenza virus activity in mice, it is quite possible that the  $\Delta$ NS1-mediated protection seen in A2G mice is Mx1-mediated. To test this hypothesis, we compared the antiviral activity of  $\Delta$ NS1 in A2G mice and in C57BL/6 mice. C57BL/6 mice harbour a non-functional *Mx1* gene due to a known deletion<sup>28</sup> and were used as a back-cross genetic platform for the original A2G strain to generate the Mx1 positive A2G mice used in our experiments. A dose of PR8- $\Delta$ NS1 containing 5×10<sup>6</sup> PFU given 12H before a lethal hvPR8 challenge protected all A2G-Mx1 mice (n = 5) in both morbidity and mortality in comparison to the PBS pre-treated group (n = 5) (Figs. 3B,C). However, all five Mx1-deficient mice in the wild-type C57BL/6 group that were given the same dose of PR8- $\Delta$ NS1 succumbed to death by a lethal hvPR8 challenge. The morbidity data for these mice based on body weight was also consistent with lack of protection after  $\Delta$ NS1 treatment from hvPR8 challenge, indicating that the antiviral effect on IAV induced in mice by  $\Delta$ NS1 treatment is dependent on the IFN-inducible gene *Mx1* w (Fig. 3D,E).

**ΔNS1 viral treatment inhibits the replication of hvPR8 virus in A2G mice lungs.** To better understand the ability of the  $\Delta$ NS1 virus to inhibit replication of the hvPR8 virus in the lungs, A2G mice were intranasally treated with  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus alone,  $2 \times 10^4$  PFU of hvPR8 alone or treatment of  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus 24 h before infecting them with  $2 \times 10^4$  PFU of hvPR8 virus. Mice were sacrificed at three- and 6-days post infection and the lung homogenates were titrated in MDCK or Vero cells (Supplementary Table 2). A reduction of hvPR8 titers in lungs by fourfold was observed when mice were pre-treated with  $\Delta$ NS1 virus. Furthermore, mice solely infected with  $\Delta$ NS1 virus had titers below the detection limit (<10 PFUml<sup>-1</sup>), while not showing any significant reduction of bodyweight. It was apparent that infection by hvPR8 virus without  $\Delta$ NS1 administration resulted in the increase of lung weight by a factor of two or three in comparison to mice that were



**Figure 2.** A single dose of  $\Delta$ NS1 virus protects A2G mice against lethal infection by highly virulent hvPR8 influenza virus when given prior to virus challenge. (A) Treatment with  $\Delta NS1$  virus protects A2G mice against lethal infection by highly virulent hvPR8 influenza virus. Eight 6-week old A2G mice were intranasally infected with  $5 \times 10^6$  PFU of highly virulent A/PR/8/34 (hvPR8) influenza virus. Half of the mice received a total of five intranasal treatments with  $5 \times 10^5$  PFU of  $\Delta$ NS1 virus at the following times with respect to the hvPR8 infection: -24 h, -8 h, +3 h, +24 h ad 48 h. The remaining four mice were treated with PBS and the bodyweight changes and survival was monitored. (B) A single dose of  $\Delta$ NS1 virus protects A2G mice against lethal infection by highly virulent hvPR8 influenza virus when given prior to hvPR8 virus challenge. Groups of three A2G mice each were mock-treated or treated intranasally with  $5 \times 10^5$  PFU of  $\Delta$ NS1 at time points -24 h, -8 h, +3 h, + 24 h, + 48 h relative to the intranasal infection by  $5 \times 10^6$  hvPR8 influenza virus. (C) A single dose of  $\Delta NS1$ virus protects A2G mice against lethal infection by highly virulent hvPR8 influenza virus when given 2 and 4 days prior to hvPR8 virus administration Groups of three A2G mice were intranasally treated with  $5 \times 10^5$ PFU of  $\Delta$ NS1 virus 4 days or 2 days before infection by  $5 \times 10^6$  hvPR8 influenza virus. Bodyweight changes and survival was monitored. All data points are from individual mice. (D) Determination of the minimal effective therapeutic dose of ΔNS1 to prevent lethal hvPR8 virus infection in A2G mice. Groups of three A2G mice were intranasally infected with 10<sup>5</sup>, 10<sup>4</sup> or 10<sup>3</sup> PFU ΔNS1 influenza virus. Additionally, groups of two A2G mice were intranasally challenged with  $10^2$  of  $\Delta$ NS1 virus or PBS. 24 h post inoculation, mice were challenged with by  $5 \times 10^6$  hvPR8 influenza virus. The percentage of mice surviving the challenge is represented.

pre-treated with  $\Delta$ NS1 virus. In the context of this study, increased lung weights are suggestive of lymphocytic infiltration and pulmonary disease during Influenza virus infection<sup>30,31</sup>.

Attenuated influenza viruses via a mutation in the Neuraminidase (NA) gene does not confer  $\Delta$ NS1-like antiviral properties. Antiviral properties observed thus far in this study is from an attenuated influenza virus lacking the NS1 gene ( $\Delta$ NS1). To confirm that the protective effects observed here are not due to the attenuation caused by the lack of a gene but specifically due to the lack of NS1, the antiviral property of  $\Delta$ NS1 virus was compared to that of a the recombinant D2 influenza virus. The D2 virus contains a base-pair mutation in the dsRNA region formed by the non-coding sequences of its NA gene. This mutation is responsible for a tenfold reduction in the NA protein levels as well as a one-log reduction in viral titers within a multicycle growth curve<sup>32</sup>. The latter D2 strain has also been shown to be highly attenuated in mice with a LD<sub>50</sub> of more than 10<sup>6</sup> PFU upon intranasal administration<sup>33</sup>. Identical doses (2.5 × 10<sup>5</sup> PFU) of D2 or  $\Delta$ NS1 viruses were intranasally administered to A2G mice 4 h prior to challenge with 5 × 10<sup>6</sup> PFU of hvPR8. Although a prolonged survival was seen in one of the animals who received D2, pre-treatment with D2 was ineffective in protecting A2G mice from hvPR98 virus-induced disease and death (Fig. 4).

**\DeltaNS1 viral treatment prevents death by Sendai virus (SeV) in C57BL/6 mice.** Given the fact, that the antiviral effects against hvPR8 mediated by  $\Delta$ NS1 viral are facilitated by an IFN mediated mechanism (*Mx1* gene induction), we speculated that  $\Delta$ NS1 treatment should protect mice from infections by other IFN sensi-



**Figure 3.** Dose dependent pre-treatment of  $\Delta$ NS1 protects A2G-Mx1 mice but not wild-type C57BL/6 from a lethal hvPR8 virus challenge. (**A**) Induction of *Mx1* specific mRNA expression in  $\Delta$ NS1 virus infected mice. Groups of two A2G or BALB/c mice were intranasally treated with PBS or  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 hvPR8 influenza viruses. 24 h post challenge, total RNA present in lung tissues were extracted and were used for RT-PCR reactions using *Mx1* specific primers. PCR products were run in an agarose gel; the arrows indicate the predicted size of amplified cDNA from *Mx1* genes pf A2G mice (Mx1) and BALB/c mice (Mx1\*). (**B**, **C**, **D**, **E**) Sex matched 6 weeks old groups C57BL/6-A2G-Mx1 mice or C57BL/6-wild-type mice were either intranasally pre-treated with PR8- $\Delta$ NS1 (5×10<sup>6</sup> PFU; n=5 per group), sterile PBS (n=5) 12 h before a lethal challenge of hvPR8 (5×10<sup>5</sup> PFU; n=5) or treated with only sterile PBS (n=2). (**B**) Morbidity of C57Bl/6-A2G-Mx1 mice. (**C**). Survival of C57Bl/6-A2G-Mx1 mice. (**D**). Morbidity of C57Bl/6-wild-type mice. (**E**). Survival of C57Bl/6-6-wild-type mice.



**Figure 4.** Comparison of the antiviral properties in A2G mice of recombinant influenza A viruses  $\Delta NS1$  and D2. A2G mice were intranasally treated with PBS or  $2.5 \times 10^5$  PFU of  $\Delta NS1$  or D2 viruses for 24 h before infection with  $5 \times 10^6$  PFU of hvPR8 influenza virus. Bodyweight changes and survival were monitored. Data shown are from individual mice.



**Figure 5.** Treatment with  $\Delta$ NS1 influenza virus protects C57BL/6 mice against lethal infection with Sendai virus. All mice were challenged intranasally with a lethal dose of Sendai virus corresponding to (**A**)  $5 \times 10^5$  PFU or (**B**)  $1.5 \times 10^5$  PFU. The percentage of mice surviving the challenge is represented. (**A**) Groups of five mice were treated intranasally with  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 virus at the indicated times. (**B**) Groups of five mice were intranasally treated at -24 h and +24 h with respect to the infection with Sendai virus with  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 or with the indicated amounts of IFN- $\beta$ .

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tive viruses. Sendai virus was used in this study due to its pneumotropic nature and sensitivity to IFN in *Mx1* deficient mice<sup>34,35</sup>. As seen in Fig. 1B, treatment with  $\Delta$ NS1 inhibited Sendai viral replication in embryonated chicken eggs. Moreover, upon two intranasal administrations of  $2.5 \times 10^5$  PFU of  $\Delta$ NS1 virus to C57BL/6 mice at times – 24 and + 24 h or – 8 and + 72 h, mice infected with  $5 \times 10^5$  PFU of Sendai virus were protected from death (Fig. 5A). The C57BL/6 mice used here are  $Mx1^{-/-}$  and it is indicative that the mouse nuclear Mx1 protein does not have any antiviral activities against cytoplasmic viruses such as Sendai virus<sup>36</sup>. The efficacy of  $\Delta$ NS1 treatment was compared against three doses of IFN- $\beta$  using the Sendai virus challenge model. Treatment with the highest dose of IFN- $\beta$  (2 × 10<sup>5</sup> U) protected mice from death induced by Sendai virus comparable to treatment with 2.5 × 10<sup>5</sup> PFU of  $\Delta$ NS1 virus (Fig. 5B).

**ΔNS1 virus treatment inhibits viral replication of SARS-CoV-2 virus in K18-hACE2-C57Bl/6 murine lungs.** Given the emergence of the devastating COVID-19 pandemic, we assessed whether prophylactic treatment with  $\Delta$ NS1 would hinder the replication of SARS-CoV-2. We used the transgenic mouse model that supports the replication of SARS-CoV2. As controls, we used universal IFN, and SeV defective RNA (SDI) which were previously shown to have an IFN inducing effect. Weight determination in all the treated groups showed no major loss in bodyweight, only one mouse each from the SDI treated group (day 8) and the uIFN treated group (day 12) reached below 75% bodyweight (Fig. 6A). Deaths (4 out of 5) in the mock treated group occurred between days 6–8 post infection. The SDI-RNA treated group lost 2 out of 5 animals on day 8 and 9 while the uIFN group lost one animal out of 5 at a later time point (D12; Fig. 6B). While both treatments resulted in reduction of viral titers day 3 and 5 post infection, mice that received  $\Delta$ NS1 showed significant inhibition of SARS-CoV2 titers in lung homogenates and no detectable infectious viruses at day 5 post infection (Fig. 6C).

#### Discussion

The NS1 protein of the influenza A virus has been shown to possess IFN antagonist activity whereby it is able to dampen the host innate immune response to provide a favourable environment for the virus to replicate. It has been demonstrated to be highly expressed in the host cytoplasm and nucleus upon viral infection, interacting with a plethora of host factors to inhibit the interferon response<sup>37</sup>. Data show the ability of NS1 to compete with innate immune sensors such as RLR to bind to dsRNA to avoid innate immune detection<sup>38</sup>. Additionally, NS1 has been shown to interact with other innate immune signalling components such as PKR<sup>39</sup>, TRIM25<sup>40</sup> and CPSF<sup>16</sup>, resulting in lowering of the IFN mediated innate immunity<sup>41</sup>. For these reasons, influenza viruses with impaired NS1 function (and an increased innate immune response) have been under consideration for live attenuated influenza vaccines. There is an existing swine influenza vaccine based on NS1-deficient live attenuated viruses<sup>42</sup>, and clinical trials in humans using an intranasally administered live attenuated  $\Delta$ NS1 virus have demonstrated potent immunogenicity and good safety profiles. Experimental evidence in mice indicates that the high IFN-inducing properties of  $\Delta$ NS1 viruses are responsible for their superior immunogenicity as live vaccines<sup>43,44</sup>.

As  $\Delta$ NS1 viruses are great IFN inducers, we reasoned that they might provide with innate protection against respiratory virus infection even before the development of an influenza virus specific adaptive immune response. Treatment with  $\Delta$ NS1 virus inhibited the replication of both homologous and heterologous viruses in eggs



**Figure 6.** Treatment with  $\Delta$ NS1 influenza virus inhibits viral replication in the lungs of K18-hACE2 mice challenged with SARS-CoV-2. Mice were intranasally treated with 30 ul containing PBS,  $2.5 \times 10^6$  PFU of  $\Delta$ NS1, 1 µg defective interfering RNA from Sendai virus (SDI-RNA),  $2.5 \times 10^5$  U of universal-interferon (uIFN) 24 h before intranasal challenge with  $10^4$  PFU of SARS-CoV-2/USA/WA1 isolate. (A) Weight-loss was monitored in mice (n=11 for treated groups and n=6 naïve) and (B) survival was monitored for 12 days. (C) Lungs were harvested at days three and five post infection (n=3 per group per day) were homogenized and were titered in Vero-E6 cells using standard plaque assays.

(Fig. 1). Using the A2G-Mx1 mouse model, we demonstrated that the intranasal administration of the  $\Delta$ NS1 virus induced an antiviral state, which prevented disease and death by a highly pathogenic influenza A virus (hvPR8) which is otherwise lethal<sup>45</sup>. Infection with  $\Delta$ NS1 virus but not WT viruses yielded detectable levels of *Mx1*-specific mRNA levels in lungs 24 h post infection (Fig. 2). A large body of evidence has indicated that the protective impact of IFN against IAV infection in mice is mainly mediated by the IFN inducible antiviral *Mx1* gene<sup>46-48</sup>. Consistently, we found that Mx1 was required for the  $\Delta$ NS1 mediated protection against lethal hvPR8 challenge by comparing Mx1 competent A2G-C57BL/6 mice with Mx1 deficient WT-C57BL/6 mice.

Data depicted in Fig. 2C show that pre-treatment of A2G mice with  $\Delta$ NS1 virus up to 4 days before the challenge with hvPR8 virus was effective in preventing disease. The Mx1 protein in mice is known to be stable for several days upon its induction and our observations are consistent with the half-life of the Mx1 protein described in mice<sup>49,50</sup>.

Given the inherently attenuated state of the  $\Delta$ NS1 viruses, it was necessary to confirm that the antiviral state seen here is due to the specific attenuation of the  $\Delta$ NS1 segment. We used a virus that is known to be attenuated due to its defective neuraminidase segment (D2 virus expressing a full-length NS1)<sup>33</sup> to demonstrate that protection is not just mediated by any attenuated IAV (Fig. 4).  $\Delta$ NS1 treated mice were also protected from lethal infection with an influenza-unrelated pneumotropic Sendai virus, suggesting that the IFN-mediated innate immune response induced by  $\Delta$ NS1 has broad-antiviral effects, rather than being a pathogen-specific immune response. As anticipated for Sendai virus, the abovementioned protection was not *Mx1* mediated and is most likely due to the activation of other ISGs such as OAS or PKR upon the  $\Delta$ NS1-mediated IFN production<sup>51</sup>.

The feasibility of  $\Delta$ NS1 virus as a prophylactic treatment to induce a type I interferon response to prevent acute respiratory infections from IFN sensitive viruses was demonstrated in the current study. Type I interferon administration has been used to treat a range of human diseases ranging from infections such as hepatitis B and C<sup>52,53</sup> to other non-communicable diseases such as melanomas<sup>54</sup> and hairy-cell leukaemia<sup>55</sup>. Although IFN has been promoted as a therapeutic agent, administration of exogenous interferon comes with a set of undesirable side effects<sup>56,57</sup>, arguably due to it causing major endocrine and metabolic changes in the host<sup>58</sup>. Therefore, various groups have attempted alternative ways to induce local type I IFN responses using different strategies. Some of these strategies were topical administration of plasmid DNA coding for IFNα1 in the mouse eye to protect against HSV-1 encephalitis<sup>59</sup>, liposomic intranasal treatment using dsRNA to induce IFN<sup>60</sup> as well as recombinant viral vectors such as adenoviruses<sup>61</sup> and hepatitis B viruses to express type I IFN to protect against infection and tumor regression<sup>61</sup>. Despite these experimental attempts to study the efficacy of IFN, it is still unclear whether virally induced IFN is more or less toxic efficient that IFN itself. This indicates that further work is needed to be done to ascertain the suitability of recombinant viruses as IFN inducers for therapeutic purposes. The physiological half-lives and binding affinities of different types of interferons are well studied and their half-lives can range from minutes to several hours, depending on the type of IFN<sup>62</sup>. Our data showed antiviral properties of  $\Delta$ NS1 virus for up to 4 days before the viral challenge. While it is known that therapeutic properties and doses of different types of IFNs are highly variable due to their differential effects contributed by the ISGs, most therapeutic properties of type I interferons are yet to be completely understood<sup>63,64</sup>. In this instance, comparable prophylactic responses were obtained by the administration of either  $2 \times 10^5$  U of IFN- $\beta$  or  $2 \times 10^5$  PFU of  $\Delta$ NS1 virus (Fig. 5B). However, it is acknowledged that different subsets of IFN-regulated genes may differ in their relative transcriptional induction between treatments.

We also demonstrated that prophylactic treatment using  $\Delta$ NS1 significantly inhibited viral replication in a relevant mouse model that can be infected with WT SARS-CoV-2 and is known to result in lethal infection<sup>65</sup> (Fig. 6). This agrees with reports that state that SARS-CoV-2 is sensitive to IFN<sup>66</sup>. Interestingly, a similar level of reduction in viral titers was not seen upon intranasal inoculation of universal-IFN nor defective interfering RNA derived from SeV (SDE-RNA; a RIG-I agonist with known adjuvanting properties)<sup>67</sup>. While these treatments resulted in a better outcome in comparison to PBS pre-treatment, high amounts of viral titers were still observed day three and five post infection. Although weight loss and survival were best in the  $\Delta$ NS1 group, the uIFN treated group showed a protective phenotype indicating that uIFN treatment was better than that provided by SDI-RNA. The difference observed here is likely due to the stimulation of multiple innate immune mechanisms by  $\Delta$ NS1 which potentially primes cells to confer a broad antiviral phenotype. However, analysis of differentially expressed genes (particularly ISGs) via a technique such as bulk RNAseq would provide more insights in explaining the observed protective effects against COVID-19 in the K18 mouse model.

In conclusion, we report that prophylactic treatment with an attenuated influenza A virus lacking the NS1 gene induces an innate antiviral response which provides protection against IFN-sensitive viruses in both embryonated chicken eggs and mice. These in vivo data further validate previous observations showing the IFN-antagonistic properties of the NS1 protein of influenza A viruses<sup>13,68–70</sup>, while highlighting the role of NS1 in inhibiting IFN induction during influenza A virus infections. We also provide evidence for its potential as a prophylactic to protect against acute respiratory infections caused by IFN-sensitive viruses including the causative agent of COVID-19 pandemic.  $\Delta$ NS1 viruses are being clinically developed as live attenuated influenza virus vaccines and in clinical trials they have shown to induce protective antibodies and no adverse responses in human volunteers<sup>21–23</sup>. Here we show that  $\Delta$ NS1 viruses have the potential to induce immediate protection against viral infection prior to the induction of specific long-lasting protective adaptive immune responses<sup>71,72</sup>. Our results should encourage further research on the use of IFN-inducing, live attenuated virus vaccines, to confer innate and adaptive protection against virus pathogens. Further studies looking at the longitudinal changes in ISGs in the respiratory tract upon treatment to correlate with the level of protection from a lethal virus challenge via RNAseq would provide potential mechanistic insights to support the observations in this study and the potential application of this treatment system to prevent the transmission of interferon sensitive viruses.

#### Methods

Cells and viruses. Recombinant influenza A viruses were generated using reverse genetics as previously described<sup>13,32</sup> A derivative of the A/PR/8/34 (PR8) defined as highly virulent PR8 (hvPR8) was kindly provided by O. Haller and J.L. Schulman. This variant of PR8 strain has been adapted to replicate efficiently in Mx1<sup>+</sup> murine lungs owing to several mutations in all viral proteins including the HA and NA glycoproteins<sup>73</sup>. Strain 52 of Sendai virus was obtained from the ATCC. Vero cells, Madin-Darby bovine kidney (MDBK) cells, baby hamster kidney (BHK) cells or embryonated chicken eggs were used to propagate the following viruses as per standard protocols; Influenza A ΔNS1, hvPR8, PR8, A/WSN/33, A/X-31/H3N2, Influenza B/Lee/40, Sendai virus and vesicular stomatitis virus (VSV). Madin-Darby canine kidney (MDCK) cells or Vero cells were plated to obtain confluent monolayers and plaque assays were performed as previously described and an agar overlay in DMEM-F12 including 1 µgml<sup>-1</sup> of trypsin was used. MDCK, Vero and BHK cells were cultured in DMEM (Corning 10013CV) in the presence of 10% FBS (PEAK; PS-FB03) and penicillin-streptomycin (Corning 30-0002-CL). The chicken embryo fibroblasts (CEF) purchased from ATCC was maintained in MEM as suggested by ATCC. Vero-E6 cells (ATCC<sup>®</sup> CRL-1586<sup>™</sup>, clone E6) were grown in DMEM containing 10% FBS, non-essential amino acids, HEPES and penicillin-streptomycin. SARS-CoV-2, isolate USA-WA1/2020 (BEI resources; NR-52281) was handled under BSL-3 containment in accordance with the biosafety protocols validated by the Icahn School of Medicine at Mount Sinai. Viral stocks were amplified in Vero-E6 cells in the above media containing 2% FBS for 3 days and were validated by whole-genome sequencing using the Oxford-MinION platform.

**Animal studies.** All animals used in the study were used at 6–10 weeks of age. The Institutional Animal Care and Use Committee (IACUC) of the Icahn School of Medicine at Mount Sinai (ISMMS) reviewed and approved the in vivo protocols included in this study. The animal work of this study is in accordance with the ARRIVE guidelines. A2G mice were kindly provided by Dr. Heinz Arnheiter while the BALB/c and C56BL/6 mice were purchased from Taconic Farms. Hemizygous female K18-hACE2 mice on the C57BL/6J genetic background (Jax strain 034860), were used to conduct studies with SARS-CoV-2 in BSL3 conditions. Anesthetized animals (Ketamine and Xylazine diluted in PBS administered via intraperitoneal injection) were intranasally infected using 30–50 µl of appropriately diluted viruses or PBS containing the indicated amounts of recombinant murine IFN- $\beta$  (Calbiochem), universal-IFN (PBL assay science) SDI-RNA<sup>67</sup>. Afterwards, the animals were monitored daily for changes in body weight. All animal studies were done in accordance with the NIH guidelines as well as the guidelines devised by the Icahn School of Medicine with regards to the care and use of laboratory animals.

**Measurement of interferon.** Ten day old embryonated eggs were infected with  $10^3$  PFU in 100 µl containing either  $\Delta$ NS1, PR8 viruses or PBS as mock. Next, the eggs were incubated at 37 °C and the allantoic fluids were extracted 18 h post infection. Viral inactivation of the allantoic fluids were conducted by dialysis against 0.1 M KCL-HCL buffer at pH 2 for 2 days at 4 °C. Later, the pH of the samples was adjusted to pH 7 by subsequent dialysis against Hank's balanced sodium salt solution with 20 mM NA<sub>3</sub>PO<sub>4</sub> for two more days as described previously<sup>24</sup>. The amount of IFN was titrated according to its ability to inhibit the growth of VSV<sup>74</sup>. In summary, CEF cells in 96-wells were treated with 100 µl of different dilutions of the respective samples in tissue culture media. Upon incubating for an hour at 37 °C, 200 TCID<sub>50</sub> of VSV in 10 µl were added to the wells before incubating at 37 °C until complete lysis of untreated control cells was observed (approximately 2 days). As a standard control, recombinant chicken IFN donated by Drs. Peter Staeheli and Bernd Kaspers was used<sup>25</sup>.

**Lung titration.** Four A2G mice were intranasally challenged with  $2 \times 10^5$  PFU of  $\Delta$ NS1 at day-1. During day 0 mice were intranasally challenged with  $2 \times 10^4$  PFU of hvPR8 virus. Alternatively, two other groups of four A2G mice were challenged with  $2 \times 10^5$  PFU  $\Delta$ NS1 or  $2 \times 10^4$  PFU of hvPR8. Three days post infection, two animals from each group were humanely sacrificed while the rest of the animals were humanely sacrificed 6 days post infection. Lungs were weighed and homogenized in 2 ml of PBS. Resulting homogenates were clarified via centrifugation at 3000 rpm for 15 min at 4 °C and the acquired supernatants were tittered by plaque assays using MDCK or Vero cells. Lung homogenates derived from SARS-CoV-2 infected K18 mice were handled and titered in Vero-E6 cells as described previously<sup>75</sup>.

**Detection of MX1 Specific mRNA** in infected cells. A2G and BALB/c mice were intranasally challenged with 10<sup>5</sup> PFU of either  $\Delta$ NS1 or hvPR8 or PBS. Afterwards, lungs were extracted 24 h post infection, snap frozen, homogenized, total RNA was extracted using TRIreagent (Sigma-Alderich). One microgram of total lung RNA was used to perform a RT reaction in a total volume of 20 µl using *Mx1* specific primer. Two µl of the resulting RT product was used for PCR amplification using *Mx1* specific primers under the following conditions (20 s at 95 °C, 30 s at 55 °C, 30 s at 72 °C for a total of 25 cycles). The sense and antisense primer sequences are as follows; 5'-CAGGACATCCAAGAGCAGCTGAGCCTCACT-3' and 5'-GCAGTAGACAATCTGTTCCATCTG GAAGTG-3'. The PCR products were analysed using a 1.2% agarose gel. Correct size for the PCR products in A2G mice was 756 bp while it was 333 bp in BALB/c mice due to a deletion in the *Mx1* gene between nucleotides 1120–1543<sup>33</sup>.

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#### Author contributions

A.G.-S., P.P., R.R., M.S. and T.M. conceived the project. R.R., M.S., H.Z., T.K., I.M. and S.J. conducted experiments while M.S., R.R. analysed the data and wrote the manuscript.

#### **Competing interests**

AG–S and PP are inventors in patents owned by the Icahn School of Medicine and licensed to Vivaldi Biosciences concerning the use of NS1 deficient viruses as human vaccines and to BI Vetmedica on the use of NS1 deficient viruses as veterinarian vaccines. The García-Sastre Laboratory has received research support from Pfizer, Senhwa Biosciences, 7Hills Pharma, Pharmamar, Blade Therapeutics, Avimex, Accurius, Dynavax, Kenall Manufacturing, ImmunityBio and Nanocomposix; and A.G.-S. has consulting agreements for the following companies involving cash and/or stock: Vivaldi Biosciences, Pagoda, Contrafect, Vaxalto, Accurius, 7Hills. The rest of the authors have no conflicts to declare.

#### Additional information

**Supplementary Information** The online version contains supplementary material available at https://doi.org/10.1038/s41598-021-01780-8.

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